Community Healthcare System Central IRB Request to Use or Disclose Decedent PHI (Form date 3/2022)

Date Submitted: Click or tap here to enter text.	IRB use only: Date Received
	IRB Number:

Instructions: This form must be completed if you are requesting to access, use, and/or disclose Community Healthcare System patient personal health information (PHI) Preparatory to Research.

SECTION I: Project Information

Title of Study or brief description of the Research Involving Decedents: Click or tap here to enter text.

Investigator Name and Title: Click or tap here to enter text.

Investigator's email address: Click or tap here to enter text.

Contact Person Name and Title: Click or tap here to enter text.

Contact Person's email address Click or tap here to enter text.

Is the investigator employed (Workforce Member) by the Community Healthcare System (CHS)?

☐ Yes ☐ No If "Yes", in what capacity? Click or tap here to enter text.

List the names and titles of all individual(s) authorized by the investigator who will be responsible for querying medical records and/or database to obtain the protected health information:

Name/Title	Employed by CHS
	\Box Yes \Box No
	\Box Yes \Box No
	\Box Yes \Box No

Who will use the collected PHI?

Name/Title	Employed by CHS
	\Box Yes \Box No
	\Box Yes \Box No
	\Box Yes \Box No

<u>SECTION II:</u> Review of the following protected health information (PHI)

Select the source(s) to be accessed:

CHS Electronic Medical Record/EPIC
CHS Picture Archiving & Communication System (PACS) for digitized
radiologic images and reports
Cancer Registry
Computer/Database (electronic record)
Hospital Administrative/Billing records
Quality Improvement records
Drug and alcohol treatment records
Behavioral Health records
Psychotherapy notes
AIDS/HIV information
Genetic information
Data previously collected for research purposes
Other: Click or tap here to enter text.

List the specific health information to be accessed:

Health history
Diagnosis: Specify condition or Diagnosis code: Click or tap here to enter text.
Laboratory test results
Medications
Radiographic images and/or results
Surgical procedures
Treatment outcomes
Healthcare provider reports and notes
Other: Describe: Click or tap here to enter text.

Disclosure Tracking

A covered entity is any healthcare plan, provider, or service that transmits health care information in an electronic form (e.g., electronic medical record). Community Healthcare System is a covered entity. PHI disclosed outside of the covered entity for the purpose of research must be tracked as required by HIPAA regulations.

Will you be sharing PHI (health information plus one or more of the 18 HIPAA identifiers) with anyone outside of Community Healthcare System?

 \Box Yes \Box No If No, proceed to Section III.

If Yes, enter contact name and address for receiving entity. Click or tap here to enter text.

If Yes, indicate your plan for compliance with Accounting of Disclosures Requirements (must check one):

 \Box This study will enroll fewer than 50 subjects. The person or general role of the person responsible for entering each subject into the HIPAA Accounting Tracking Form is:

 \Box This study will enroll 50 or more subjects. The Alternative Tracking form will be used.

 \Box Send the completed form along with a copy of this Request form to the CHS CIRB office.

SECTION III: INVESTIGATOR ASSURANCE

By submitting this form, I am representing and agreeing that: (All must be checked)

The use or disclosure is requested solely for research on PHI of decedents as
noted above;
The PHI for which use or disclosure is requested is the minimum necessary
for research purposes;
I will provide documentation of the death of the research subjects if
requested;
If, at any time, I want to reuse this information for other purposes or to
disclose the information to additional individuals or entities, I will seek prior
approval from the CHS CIRB;
I am aware of the legal, regulatory, and ethical requirements to protect
human subjects, including protection of their personal privacy and agree to
comply with all such human subjects protections.

Signature of Person requesting review

Print Name

Date

FOR CHS CIRB OFFICE USE ONLY

CHS CIRB /HIPAA Privacy Board Determinations:

The CHS CIRB has determined that the use/disclosure of decedent information described above meets the criteria set forth at 45 CFR §164.512(i)(1)(ii).
The CHS CIRB has determined that the use/disclosure of decedent information described above not meet the criteria set forth at 45 CFR §164.512(i)(1)(ii).
Suggested Action:

Signature of CHS CIRB Chair/Designee

Date